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SIMPLIFYING VERY DEEP CONVOLUTIONAL NEURAL NETWORK ARCHITECTURES FOR ROBUST SPEECH RECOGNITION

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ABSTRACT

Very deep convolutional neural networks (VDCNNs) have been successfully used in computer vision. More recently VDCNNs have been applied to speech recognition, using architectures adopted from computer vision. In this paper, we experimentally analyse the role of the components in VDCNN architectures for robust speech recognition. We have proposed a number of simplified VDCNN architectures, taking into account the use of fully-connected layers and down-sampling approaches. We have investigated three ways to down-sample feature maps: max-pooling, average-pooling, and convolution with increased stride. Our proposed model consisting solely of convolutional (conv) layers, and without any fully-connected layers, achieves a lower word error rate on Aurora 4 compared to other VDCNN architectures typically used in speech recognition. We have also extended our experiments to the MGB-3 task of multi-genre broadcast recognition using BBC TV recordings. The MGB-3 results indicate that the same architecture achieves the best result among our VDCNNs on this task as well.

Index Terms— Robust Speech Recognition, Very Deep Convolutional Neural Networks, Aurora 4, MGB Challenge

1. INTRODUCTION

Convolutional neural networks (CNNs) were the one of the first successfully used deep neural network architectures, originally used for image processing, computer vision, and document understanding [1, 2], and since about 2012 they have defined the state-of-the-art for many computer vision tasks [3, 4]. CNNs take account of the local input structure by using local receptive fields to model spatially local correlations. Combining this local modeling with weight sharing and pooling enables invariances to be exploited across the structure of the input, typically leading to better generalization to unseen data compared to fully-connected deep neural networks (DNNs).

CNNs have been applied to speech recognition by treating time-frequency representations analogously to images [5, 6, 7, 8, 9, 10], and also through learning one-dimension structure of sequences, an approach referred to the time-delay neural network (TDNN) [11, 12]. There is experimental evidence

that convolutional structure of CNNs allows better solutions to be learned compared with DNNs. For instance, Huang et al. [9] estimated both CNN and DNN acoustic models on a speech recognition task, training both networks on about 1 000 h of data – an amount possibly big enough for a DNN to learn all the necessary invariances. The CNN resulted in reduced word error rates compared to the DNN; moreover for a distant speech recognition task the gain of CNNs over DNNs increased in direct proportion to the speaker-microphone distance.

Very deep convolutional neural networks (VDCNNs) have been shown to improve the recognition accuracy compared to CNNs with fewer layers for both image recognition [13, 14] and speech recognition [15, 16, 17, 18]. The key concept of VDCNNs is to replace a single kernel from a classical CNN model with a stack of convolutional kernels of smaller size. Such a stack of small kernels can have a similar effective receptive field as a single larger kernel but with a reduced number of independent parameters. Moreover, stacking convolutional layers enables more complex features to be learned due to the additional non-linearities in the model.

Simplifying a typical CNN architecture (with pooling and fully-connected layers) to an architecture consisting solely of convolutional layers has been shown to achieve state-of-the-art accuracy on several image recognition tasks – for instance, GoogLeNet [14], is a convolutional network which uses average pooling instead of fully-connected layers, eliminating the majority of trainable parameters of the network without reducing object recognition accuracy. Deep residual learning (ResNet) [19], is another example of a VDCNN without fully-connected layers at the top of the network.

The use of VDCNNs for noise-robust speech recognition was investigated by Qian and Woodland [18] for the Aurora 4 robust speech recognition and AMI distant speech recognition corpora, with a specific focus on optimal VDCNN architectures – the kernel sizes, pooling and padding strategies, and the size of the input feature map. Most VDCNN acoustic models have used architectures in which the upper hidden layers are fully-connected [15, 16, 18]; in contrast, Yu et al. [17] use an architecture without any fully-connected layers. Furthermore, they also do not employ pooling layers – convolutional layers with a larger stride are used to downsample the feature maps. However Yu et al. compare the resultant acous-

tic model architecture to DNN and long short-term memory (LSTM) recurrent neural network (RNN) models, with no comparison across CNN architectures, which makes it difficult to assess the contribution of the novel CNN architectural components that they use – it is not clear whether removing fully-connected layers and replacing pooling layers with convolutional layers improves or degrades the accuracy of the speech recognition system.

In this paper we investigate VDCNNs to find out which components are necessary to achieve the state-of-the-art accuracy for robust speech recognition. Specifically, we want to learn the role and the importance of different components of the network (fully-connected layers, pooling layers, convolution stride) in noisy speech recognition applied to the Aurora 4 and multi-genre broadcast (MGB) data sets.

2. CONVOLUTIONAL NEURAL NETWORKS FOR SPEECH RECOGNITION

In comparison to other state-of-the-art neural network acoustic models, such as RNNs/LSTMs, CNNs are often easier to train because of their feed-forward nature. Moreover, the key properties of CNN models (locality, weight sharing, and pooling) can contribute to improved noise robustness and reduced overfitting of the models.

2.1. Acoustic features, locality and weight sharing

The feature map input to CNN acoustic models typically employs a sub-sequence of log mel filterbank (FBANK) features (often concatenated with their first and second temporal derivatives) arranged in a two-dimension array (feature map) whose size is $time \times freq$ (where $time$ is the width of the time context window and $freq$ is the number of frequency bins in the FBANK). FBANK features are more suitable for CNN models than decorrelated features such as mel-frequency cepstral coefficients (MFCCs).

CNNs with FBANK inputs can exploit the local spectral representation structure when learning the weights of the hidden layers. For robust speech recognition, this locality can enable the network to model the situation in which noise or distortion is more apparent in some bands of the spectrum than in others, allowing representations to be computed from the cleaner parts of the spectrum. The shared weight structure of a convolutional layer enables translation invariance to be captured, by extracting the same feature at all points of the input feature map. Although this is less important for acoustic modeling, compared with image recognition, it does enable some robustness to different speakers and speaking styles which may be manifested as variations in activity in different frequency bands. Moreover, weight sharing and the use of local receptive fields reduces the number of the parameters of the model which can contribute to the reduction in overfitting of the model.

2.2. Convolutional layer

Convolutional layers are based on a weighted sum of their inputs, similar to fully-connected (FC) layers, with the difference that hidden units in the convolutional layer are connected only to a subregion of the input feature map (the receptive field) and the hidden units share weights across the local receptive fields in order to extract the same feature across the input representation. Each feature map hidden unit value $h_{i,j}$ in a CNN model is computed as

$$h_{i,j} = \sum_{k=0}^{m-1} \sum_{l=0}^{m-1} f(w_{k,l}x_{i+k,j+l} + b_{i,j}) \quad (1)$$

$$= f(\mathbf{W} \otimes \mathbf{X} + b_{i,j}), \quad (2)$$

where f is the activation function, $w_{k,l}$ are items of the shared $m \times m$ weight matrix \mathbf{W} , $b_{i,j}$ is the shared bias, and $x_{i+k,j+l}$ is the input at position $i+k, j+l$. We use k and l to index into the receptive field, whose top left corner is at $x_{i,j}$. \mathbf{X} denotes a matrix of input values within local receptive field. \otimes denotes cross-correlation and this is the operation performed by convolutional layers in our models.¹

2.3. Padding

Padding input representations with zeros around the border enables the size of the output feature map to match the size of the input feature map, which is important for deep convolutional architectures; moreover it ensures that information is not lost from the edges of the input feature map. This is sometimes referred to as SAME padding, in contrast to a valid convolution which does not use padding and thus results in a smaller output feature map.

Shallower CNN acoustic models have tended to use valid convolution [5, 6, 8], however more recently investigated VDCNN models [16, 17, 18] have used SAME padding to preserve the feature map size.

2.4. Downsampling

CNN classifiers require some form of compression or downsampling to map a feature map to a classification. This is most often achieved through the use of pooling layers between convolutional layers. Pooling layers discard the exact positional information of a feature, and may be regarded as smoothing filters. In practice two types of pooling operations are used in CNN models: max and average pooling. Max pooling is sensitive to the existence of some feature in subregion of the initial representation, and average pooling measures the

¹If the cross-correlation kernel w is flipped horizontally and vertically, then (2) becomes a convolution. The network learns the kernel appropriate to its orientation – so if convolution is implemented with a flipped kernel, it will learn that it is a flipped representation. The specific properties of convolution but not of cross-correlation (commutativity and associativity) are not required for a CNN acoustic model.

mean value of existence of a feature in that subregion. In practice, max pooling has shown better empirical results than average pooling in many pattern recognition tasks. Alternatively, a feature map can be downsampled using a convolutional layer with a larger stride. For image recognition, it has been demonstrated that replacing a max-pooling layer by a convolutional layer with increased stride does not reduce the classification accuracy [20]. Using convolutional layers instead of pooling layers to downsample feature maps can also be seen as learning the pooling operation rather than fixing it.

Both these approaches to downsampling maintain translation invariance and offer a degree of smoothing, and in a speech recognition context can offer robustness by compensating for variation in the frequency domain arising from the acoustic environment or speaker characteristics. In addition downsampling can also reduce the complexity of the model (although downsampling is often accompanied by an increase in the number of filters). Pooling layers have been used more frequently than convolution layers for downsampling in CNN acoustic modeling; however Yu et al. [17] downsampled using convolutional layers with stride $s = 2$ in both time and frequency dimensions in their VDCNN acoustic model.

3. EXPERIMENTAL SETUP

We use a hybrid neural network (NN) – hidden Markov model (HMM) speech recognition system. A Gaussian Mixture Model (GMM) based HMM system is first trained to estimate the context-dependent phone models, from which a forced alignments is obtained which provides the targets for training all our NN-HMM systems. We use Kaldi [21] to train the GMM-HMM system with $\sim 3.4k$ (for Aurora 4) or $\sim 9.4k$ (for MGB) clustered states using maximum likelihood estimation criterion and the standard Kaldi MFCC-LDA-MLLT-FMLLR features. In Aurora 4, decoding was performed with the task-standard bigram language model. For MGB, a trigram language model was used, with lattices being later rescored with a 4-gram language model [22].

In all of our experiments with neural network acoustic models we used FBANK features as inputs. Following the findings in [18], where using only static features was the best setup for robust speech recognition, we also use only one feature map at the input. We use 40 filters and 5 frames of context, so the input feature map size is 11×40 .

We used the TensorFlow software framework [23] to train the NN models and the tfkaldi software [24] to integrate TensorFlow neural networks with Kaldi.

3.1. Datasets

To train and evaluate our simplified VDCNN architectures for the task of robust speech recognition we use the Aurora 4 [25] and MGB Challenge [22] datasets.

3.1.1. Aurora 4

Aurora 4 is a medium-vocabulary task based on the Wall Street Journal (WSJ) corpus. The training set contains 7 138 utterances from 83 speakers. The clean-condition training set is equivalent to the SI-84 WSJ corpus with an overall audio duration of about 15 h. The multi-condition training set was designed to study the effects of variation in microphone and noise. Its overall duration is equal to the clean-condition training set duration. It consists of selected utterances from the clean-condition training set (recorded with the primary Sennheiser microphone) and utterances from 18 different microphone types (with the same linguistic content). 75% of utterances recorded with a primary microphone were corrupted using six noise types at different SNR levels (10-20 dB). The same noise distortion is applied to the mismatched microphone subset. Unless otherwise stated, in our experiments we use the GMM-HMM forced alignments generated from multi-condition training set.

The test set (test_eval92) was grouped into 4 subsets: 330 clean utterances selected from SI-84 WSJ corpus, 330×6 utterances with 6 types of additive noise at 5-15 dB SNR, 330 utterances recorded with a different microphone, 330×6 utterances recorded with a different microphone and with 6 types of additive noise at 5-15 dB SNR. Those test set subsets are referred to as A, B, C, and D, respectively. The overall test set audio duration for all four subsets was about 9 h.

3.1.2. MGB-3 and MGB-1

The MGB datasets consist of multi-genre BBC English TV recordings from the MGB Challenge competitions in 2015 (MGB-1) and 2017 (MGB-3). In this paper we train models on the MGB-3 training set, which contains recordings from around 750 episodes (about 350 hours). Note that it is substantially smaller than the original MGB-1 training set. We evaluate our models on both the MGB-3 development set and MGB-1 test set. The MGB-3 dev set consists of about 5 h and MGB-1 test set about 19 h of multi-genre TV episodes.

3.2. Architectures and training

Fig. 1 shows the architectures of the convolutional models analysed in this work. For the hidden units, all the networks used a rectifier linear unit (ReLU) non-linear activation function. The baseline DNN model (*DNN*) comprised 6 hidden layers with 2048 nodes each. The baseline CNN model (*CNN*) followed Sainath et al. [10] and comprised two convolutional layers with kernel sizes 9×9 and 3×4 respectively. There were 256 feature maps in the first convolutional layer and 512 in the second one. In the baseline CNN model we used overlapping pooling and valid convolutions (no padding). In the first convolutional layer, the kernels were applied using a stride of 1. In the second convolutional layer, the kernel's strides were $s_{time} = 1$ and $s_{freq} = 4$.

Table 2. Word Error Rates (WERs) [%] for Aurora 4 test sets A, B, C, D, and the average (AVG) WER for the baseline models (DNN, CNN, VDCNN-max-4FC) and our VDCNN models (avg, max, max-addconv, allconv) trained with alignments generated from multi-condition training set of Aurora 4.

Model	A	B	C	D	AVG
DNN	3.47	7.67	7.85	19.73	12.55
CNN	3.33	6.89	6.59	17.92	11.34
VDCNN-max-4FC	2.43	5.92	5.74	16.26	10.09
VDCNN-avg	2.75	5.88	7.27	16.46	10.29
VDCNN-max	2.56	5.78	5.36	15.40	9.64
VDCNN-max-addconv	2.50	6.02	6.95	16.35	10.26
VDCNN-allconv	2.32	5.45	5.38	15.56	9.55

Table 3. Word Error Rates (WERs) [%] for Aurora 4 test sets A, B, C, D, and the average (AVG) WER for different models trained with alignments generated from multi-condition training set (mc) and synchronized clean-condition training set (cln) of Aurora 4.

Model	A	B	C	D	AVG
DNN/mc	3.47	7.67	7.85	19.73	12.55
DNN/cln	3.19	6.42	7.04	17.04	10.79
VDCNN-max-4FC/mc	2.43	5.92	5.74	16.26	10.09
VDCNN-max-4FC/cln	2.54	5.33	4.61	13.77	8.70
VDCNN-allconv/mc	2.32	5.45	5.38	15.56	9.55
VDCNN-allconv/cln	2.43	4.43	4.50	12.50	7.75

4.1. Evaluation on Aurora 4

We trained Aurora 4 models using two different alignments. The results in Table 2 were obtained with alignments produced by a GMM-HMM systems trained using the multi-condition Aurora 4 training set. We prefer this approach as it better matches the usual condition in which synchronized clean-condition training sets are not available for a task. However, in order to compare our results with other Aurora 4 results in the literature (which often use clean-condition training to generate the alignments for the NN-HMM systems), Table 3 compares some of our multi-condition alignment results with the results using clean-condition alignments for NN training.

Our baseline models – DNN, CNN, VDCNN-max-4FC – achieve lower WERs than the similar models in the literature. For instance, the best VDCNN model in [28] achieves a WER of 8.81% (with clean-condition alignments) compared to our 8.70% for the same network architecture. Similarly, our DNN baseline gives to our knowledge the lowest DNN WER on Aurora 4 (10.79% compared to 11.11% in [18] and [28]). These improvements in accuracy may result from differences in our training procedure – using the Adam optimizer (rather than stochastic gradient descent with the NewBOB learning

rate schedule) and batch normalization. The state-of-the-art WERs reported in [18] are 7.99% with auxiliary feature joint training, and 7.09% with further LSTM-RNN joint decoding. Our best VDCNN model, without any additional input features nor additional neural network models, achieves a WER of 7.75%.

We consider the four simplified VDCNN architectures for robust speech recognition trained using the more realistic targets obtained from multi-condition alignments (Table 2). These results indicate that removing the fully-connected layers from the VDCNN model (VDCNN-max) improves the accuracy for test subsets B, C, and D. The gain is the most prominent for the most corrupted test subset D, which indicates that this kind of architecture may be beneficial also for other datasets corrupted with noise and with mismatched channels. Removing the majority of the independent parameters of the network resulted in WER reduction over the whole test set. However, the VDCNN-avg model slightly outperforms the baseline VDCNN only for the test subset B; the overall WER for this model is higher than the baseline WER. This model performs the worst for subsets A and C. This may be explained by the smoothing properties of the average pooling layer which for relatively clean test subsets may cause the performance degradation. Among all models trained with multi-condition alignments, the average WER over test subsets A, B, C, and D is the lowest for the VDCNN-allconv model, consisting solely of convolutional layers and a softmax layer, and without any fully-connected hidden layers. The relative improvement over the corresponding baseline VDCNN model is 5%, with a 16% relative improvement over the baseline CNN, and 24% over the baseline DNN. The largest relative WER reduction was over subset B (additive noise) compared to the VDCNN baseline, making the proposed VDCNN-allconv architecture a promising choice for other noisy speech recognition tasks. By aggressively reducing the number of parameters of a network by removing all FC layers and by introducing five additional convolutional layers to the VDCNN model, the capacity of the model is increased without considerably increasing the amount of the model’s weights. This may enable the model to perform better generalizations in training and as a result perform better on disrupted test sets. The final proposed model, VDCNN-max-addconv, is worse than the VDCNN baseline for all test subsets, although this experiment indicates that the performance gain for VDCNN-allconv model is not solely due to model size expansion.

For the clean-condition alignments, the relative improvement for most accurate model is 11% over the corresponding VDCNN baseline, and 28% over the DNN baseline (Table 3). These results indicate that the proposed VDCNN-allconv architecture benefits the most from the clean alignments setup. For clean alignments, the relative performance gains over our VDCNN baseline for test subsets A, B, C, and D are 4%, 17%, 2%, and 9%, respectively. The test subset B (with ad-

ditive noise) and subset D (with additive noise and microphone mismatch) are again the ones benefiting the most from the proposed VDCNN architecture. For the same models architectures, the relative improvements for clean-aligned over multi-aligned models are 19% for *VDCNN-allconv*, and 14% for the baseline *VDCNN-max-4FC*. The choice of the alignments therefore has a major influence on the final WERs in the Aurora 4 task.

4.2. Evaluation on MGB

To ensure the reliability of our results, and to scale to a larger, more realistic task, we evaluated our models on the MGB Challenge data. We used the MGB-3 training set to train our acoustic models and used the language model training data used for the the MGB-1 Challenge [22]. To provide calibration for the acoustic and language models used in the MGB task, we evaluate the models trained on MGB-3 training data on both the MGB-3 development set and on the MGB-1 test set. It should be noted, however, that our MGB-1 results can not be directly compared to the other results in the literature for MGB-1 task as the training set used in this work is MGB-3 which contains about $3.5\times$ less audio data than the MGB-1 set, drawn from a different set of multi-genre TV programmes.

WERs for the MGB-3 dev set and the MGB-1 test set are summarised in Table 4. In those tasks we did not aim for best possible performance; our objective was to compare the proposed VDCNN models with a VDCNN baseline and with each other on a task larger than Aurora 4. (For instance, further reductions in WER would be obtained through sequence training, speaker adaptation, improved test set segmentation, and re-alignment.) For both MGB test sets we obtained the lowest WERs using our proposed *VDCNN-allconv* model which is in line with the Aurora 4 findings above. It also confirms that this kind of architecture can be beneficial for other robust speech recognition tasks, with small but consistent gains over the VDCNN – 1.0% WER absolute improvement for MGB-3 and 1.2% for MGB-1. The WERs for average and max pooling are comparable in both MGB tasks. We also show the results of rescoreing our best acoustic model with a 4-gram language model, giving an additional 2.2% and 2.5% absolute improvement for MGB-3 and MGB-1 tasks, respectively.

5. CONCLUSIONS

In this paper we investigated very deep CNN architectures for robust speech recognition. We explored several simplified architectures to investigate which VDCNN components are necessary to obtain state-of-the-art results for robust speech recognition task. We investigated different downsampling strategies – our experiments demonstrate that max-pooling performs better than average pooling for smaller, Aurora 4

Table 4. Word Error Rates [%] for MGB-3 dev set and MGB-1 test set for the baseline model (*VDCNN-max-4FC*) and our VDCNN models (avg, max, allconv). The last VDCNN-allconv-4G model is rescored with a 4-gram LM. All models trained on MGB-3 training data

Model	WER	
	MGB3-dev	MGB1-test
<i>VDCNN-max-4FC</i>	53.2	42.4
<i>VDCNN-avg</i>	52.4	41.4
<i>VDCNN-max</i>	52.5	41.4
<i>VDCNN-allconv</i>	52.2	41.2
<i>VDCNN-allconv-4G</i>	50.0	38.7

database, but pooling layers are not necessary at all to achieve state-of-the-art results for speech recognition with VDCNNs. Instead, using convolutional layers with increased stride can effectively enable the model to learn the necessary invariances. The performance gains resulting from the choice of the downsampling approach vary depending on the dataset and the alignments used. In addition, removing fully-connected layers from a VDCNN architecture, typically used in speech recognition, contributed most to the performance gains in our experiments, especially for noisy test data. Our model consisting solely of fifteen 2D convolutional layers with the same kernels sizes throughout the network and a single softmax classification layer gives the best performance consistently in our experiments on the Aurora 4 and MGB tasks.

In future work we want to aim for better MGB-3 task performance, including a more through hyperparameter search for this task. We plan to investigate the use of more information at the input of our simplified *VDCNN-allconv* network by expanding the width of the time context window, using delta and delta-delta FBANK feature maps as additional input channels, and increasing the number of frequency bins used in FBANK computation. Also, we shall explore training with auxiliary features (e.g. fMLLR) as the choice of input features for 2D convolutional layers is limited due to local correlation requirements.

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